

FourierSAT: A Fourier Expansion-Based Algebraic Framework for Solving Hybrid Boolean Constraints

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Background: Boolean SATisfiability Problem

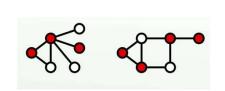
- Variables: $x, y, z... \in \{T, F\}$
- Connectives: $\neg(Not), \land (and), \lor (or), \oplus (xor)...$
- Formula: $(x \land \neg y) \oplus z \dots$
- Solution: an assignment with T/F of variables s.t. formula yields T

- SAT: Does a formula have a solution or not? $x=T,y=F \text{ is a solution of } x \wedge \neg y$ no solution exists for $x \wedge \neg x$
- NP-completeness of SAT was proven in 1971 by Stephen Cook

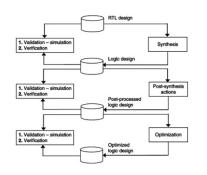
Applications of SAT



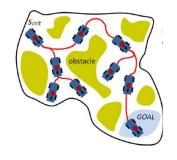
Used by hardware and software designers on a daily basis



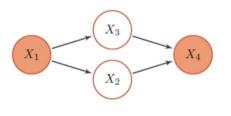
Discrete Optimization [Ignatiev et al., 2017]



Software Verification
[Veley, 2004]



Motion planning [Bera, 2017]



Probabilistic inference [Chavira et al., 2008]

SAT solvers solve industrial SAT instances with millions of variables

[Katebi et al., 2011]





Conjunctive Normal Form (CNF)

- Connectives: ¬, ∧, ∨
- Clauses: $x_1 \lor x_2 \lor \neg x_3 \dots$
- Formulas: $(x_1 \lor x_2 \lor \neg x_3) \land (x_2 \lor x_4) \dots$
- 3-CNF is NP-complete [Cook, 1971]

Non-CNF Clauses/Constraints

- cryptography: XOR [Bogdanov et al., 2011]
- graph theory: cardinality constraints [Costa et al., 2009] not-all-equal (NAE) [Tomas J, 1978]

$$x \oplus y \oplus z$$

$$x + y + z \ge 2$$

$$NAE(x, y, z) = \neg(x = y = z)$$

Related Work: Hybrid SAT Solving



CNF-encoding of Non-CNF constraints
 Involves large number of new variables and clauses [Wynn, 2018]
 Encodings make a big difference [Prestwich 2009]

Extensions of CNF solvers

Cryptominisat (CNF + XOR) [Soos et al., 2009]

Minicard (CNF + cardinality constraints) [Liffition et al., 2012]

MonoSAT (CNF + graph properties) [Bayless et al. 2015]

Pueblo (CNF + pseudo Boolean constraints) [Sheini et al., 2006]

Need to design algorithms for each specific type of constraints





$$f = c_1 \wedge c_2 \wedge \cdots \wedge c_m$$

Each C_i can be a CNF, XOR, Not-all-equal constraint or cardinality constraint

Goal: Handle different types of constraints uniformly & naturally

FourierSAT





Boolean formulas

$$f: \{1, -1\}^n \to \{1, -1\}$$

$$\{F, T\}$$

$$x \land y$$

$$p: \{1, -1\}^n \to \{1, -1\}$$

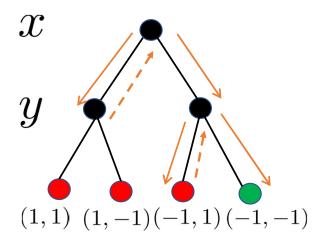
$$\frac{1}{2} \cdot 1 + \frac{1}{2} \cdot x + \frac{1}{2} \cdot y - \frac{1}{2} \cdot xy$$

Theorem (Walsh-Fourier Transform)

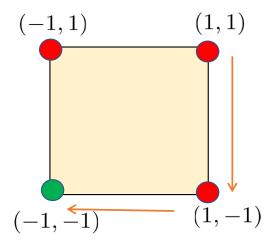
Every Boolean function has a unique representation in multilinear polynomial.

From SAT to continuous optimization

$$x \wedge y$$



Tree Search/Backtracking

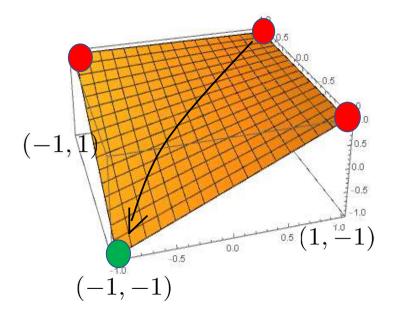


Local Search

discrete searching on Boolean domain



$$\frac{1}{2} + \frac{1}{2}x + \frac{1}{2}y - \frac{1}{2}xy$$



optimization on continuous domain

Workflow



$$f = (x_1 \lor \neg x_2)$$

$$\land (x_2 \oplus x_3 \oplus x_4)$$

$$\land (x_4 + x_5 + x_6 + x_9 \ge 2)$$

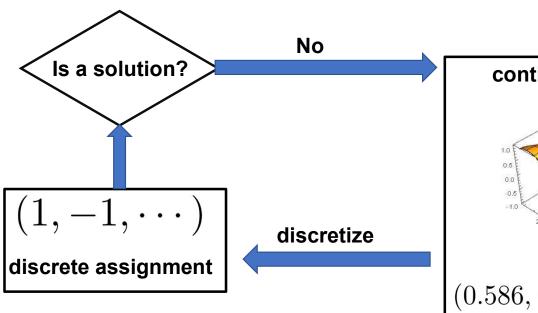
... hybrid Boolean formula

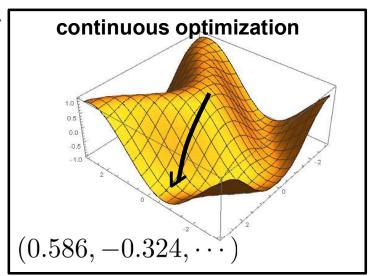
Fourier transform

 $F = -0.32 + 0.02x_1 - 0.03x_2$ $+ 0.04x_3 - 0.007x_4 + \cdots$ $+ 0.000875x_5x_6x_7x_8x_9 + \cdots$

multilinear polynomial

analytical computation





$$\frac{\partial F}{\partial x_1} = 0.003x_2 + \cdots$$
 $\frac{\partial F}{\partial x_2} = 0.008x_1 + \cdots$
...

gradients





- Generally, computing the Fourier Expansion of a Boolean function is #P-hard
- How to evaluate a polynomial with exponentially many terms?

$$f = c_1 \wedge c_2 \wedge \cdots \wedge c_m$$

Many types of constraints has closed form Fourier expansions

Type of Constraint	Example	Fourier Expansion
CNF clauses	$x \wedge y$	$\frac{1}{2} + \frac{1}{2}x + \frac{1}{2}y - \frac{1}{2}xy$
XOR	$x\oplus y\oplus z$	$x \cdot y \cdot z$
Cardinality constraints	$x + y + z \ge 2$	$\frac{1}{2}x + \frac{1}{2}y + \frac{1}{2}z - \frac{1}{2}xyz$
Not-all-equal	NAE(x, y, z)	$-\frac{1}{2} + \frac{1}{2}xy + \frac{1}{2}yz + \frac{1}{2}xz$

Define a new objective function by the Fourier Expansion of each clause



Objective Function Construction

$$f=c_1\wedge c_2\wedge\cdots\wedge c_m$$

$$F=\stackrel{\downarrow}{(p_1)}+\stackrel{\downarrow}{(p_2)}+\cdots+\stackrel{\downarrow}{(p_m)}$$

$$F=\sum_{i=1}^m p_i$$
 Fourier expansions

$$f = (x \lor \neg y) \land (x \oplus y)$$

$$F = (-\frac{1}{2} + \frac{1}{2}x - \frac{1}{2}y - \frac{1}{2}x \cdot y) + (x \cdot y)$$

$$= -\frac{1}{2} + \frac{1}{2}x - \frac{1}{2}y + \frac{1}{2}x \cdot y$$

Theorem

$$f \text{ is SAT} \Leftrightarrow \underset{x \in [-1,1]^n}{Min}(F) = -m$$



Theoretical Properties of FourierSAT

Randomized Rounding:

$$P[R(x)_i = -1] = \frac{1}{2} - \frac{1}{2}x_i$$

$$P[R(x)_i = 1] = \frac{1}{2} + \frac{1}{2}x_i$$

Theorem

 $F(x) = -k \Rightarrow \frac{m+k}{2}$ clauses can be satisfied in expectation

Making progress in expectation per iteration

Theorem

Every local minimum x of F can be discretized to x^* with $F(x^*) = F(x)$

$$F(x) = -k$$

 $x ext{ is a local minimum} \Rightarrow \frac{m+k}{2} ext{ clauses can be satisfied}$

(Deterministically)

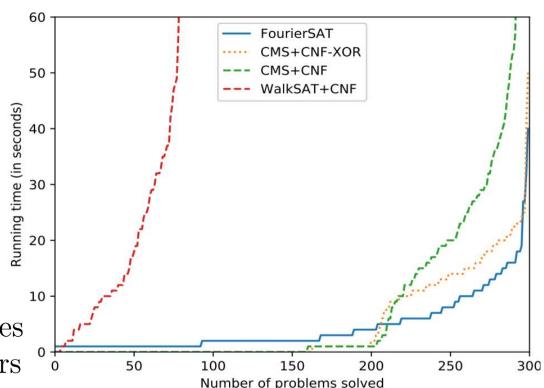
Experimental Results: Parity Learning with Errors



• Solving a random XOR system of m XOR equations and n variables but tolerating up to $e \cdot m$ equations to be violated

$$x_1 \oplus x_2 \oplus x_3 = -1$$
 $x_1 \oplus x_2 = -1$
 $x_2 \oplus x_3 = -1$
 $x_1 \oplus x_3 = -1$
UNSAT for $e = 0$. SAT for $e = 0.25$

• m = 2n, $e = \frac{1}{4}$: Known as hard instances for both DPLL and local search SAT solvers



• XORs + 1 Cardinality Constraint

Conclusion



- SAT solving beyond CNF is worth studying
- Our work, FourierSAT is a versatile and robust tool for Boolean SAT
- Applications of Fourier analysis and other algebraic techniques for Boolean logic are promising
 - Bridging discrete and continuous optimization
- Future directions:
 - Proving unsatisfiability algebraically
 - Deploying FourierSAT with methods from machine learning and local search SAT solvers