ChatGPT in Action: An Experimental Investigation of Its Effectiveness in NLP Tasks

Xia “Ben” Hu

 Associate Professor
 Department of Computer Science
 Rice University
 Email: xia.hu@rice.edu
A Practical Guide of Employing ChatGPT

ChatGPT

Capability

Applications

Limitations
A Practical Guide of Employing ChatGPT

Capability

Applications

Limitations
Example: Coding and Note Generation

Can you show me an example of bubble sort?

Sure, here is an example implementation of the bubble sort algorithm in Python:

```python
def bubble_sort(arr):
    n = len(arr)
    for i in range(n):
        # Traverse through all array elements
        for j in range(0, n-i-1):
            # Swap if the element found is greater than the next element
            if arr[j] > arr[j+1]:
                arr[j], arr[j+1] = arr[j+1], arr[j]

# Test the implementation
arr = [64, 34, 25, 12, 22, 11, 90]
bubble_sort(arr)
print("Sorted array is:")
for i in range(len(arr)):
    print("{}".format(arr[i]))
```

help me write a short note to introduce myself

Sure, I can help you write a short note to introduce yourself! Here's an example:

Hi there, my name is [Your Name], I'm [Age] years old and I currently live in [City, Country]. I recently [Graduated/Completed a Course/Started a New Job], and I'm excited to see where that takes me. In my free time, I enjoy [Hobby/Interest]. I'm looking forward to meeting new people and exploring new opportunities.

Of course, you can customize this introduction to fit your own personal style and include any additional details you think are relevant. Good luck!

can you make it more formal?

Certainly, here's an example of a more formal self-introduction:

Greetings, my name is [Your Name], and I am pleased to make your acquaintance. I am a [Age] year-old resident of [City, Country]. I recently completed [Degree/Certification/Training] in [Field], and am currently [Occupation/Position] at [Company/Institution]. In my free time, I enjoy [Hobby/Interest] and am an avid [Interest/Activity]. I look forward to connecting with individuals who share my interests and values, and expanding my professional network. Please feel free to reach out and introduce yourself.
Self-attention mechanism allows transformer-based models to capture long-range dependencies much more effectively than RNNs.

Self-attention mechanism allows transformer-based models to capture long-range dependencies much more effectively than RNNs.

1. Encoder-Decoder Architecture: Think of the Transformer model as a language translator in a United Nations summit. The encoder is responsible for understanding the input language (listening and processing), while the decoder generates the translation in the desired output language.

2. Self-attention mechanism: Imagine you are proofreading an essay. You need to consider the context of each word in relation to other words in the sentence. The self-attention mechanism similarly weighs the importance of different words in the input sequence to better understand the context and produce a more accurate output.

3. Multi-head attention: Picture a team of experts, each focusing on a different aspect of a problem. In the Transformer model, multi-head attention is like having multiple experts examining different aspects of the input sequence to capture diverse relationships between words.

4. Positional encoding: Consider a row of orchestra musicians, each playing a unique instrument. The position of each musician helps the conductor coordinate the music. Positional encoding in the Transformer model works similarly by incorporating positional information into the input sequence, allowing the model to understand word order.

5. Layer normalization: Imagine a sports team where players have different skills, strengths, and weaknesses. A good coach helps each player improve their skills to create a balanced and strong team. Layer normalization in the Transformer model adjusts the output of each layer to have a consistent mean and variance, improving overall model performance.

6. Feed-forward neural networks: Think of a conveyor belt in a factory assembly line. Each workstation adds or modifies a component, ultimately resulting in a finished product. Feed-forward neural networks in the Transformer model process input data through a series of layers, refining and transforming the input at each stage.

7. Residual connections: Picture a group of hikers navigating a challenging trail. To ensure no one gets lost, they occasionally stop and regroup. In the Transformer model, residual connections allow information from earlier layers to skip ahead, helping maintain important details and mitigating the vanishing gradient problem.

By using these analogies and mental models, you can better understand and remember key concepts in Google’s Transformer model.
To train InstructGPT models, the core technique is reinforcement learning from human feedback (RLHF).

Step 1: Collect demonstration data, and train a supervised policy.
- A prompt is sampled from our prompt dataset.
- A labeler demonstrates the desired output behavior.
- This data is used to fine-tune GPT-3 with supervised learning.

Step 2: Collect comparison data, and train a reward model.
- A prompt and several model outputs are sampled.
- A labeler ranks the outputs from best to worst.
- This data is used to train our reward model.

Step 3: Optimize a policy against the reward model using reinforcement learning.
- A new prompt is sampled from the dataset.
- The policy generates an output.
- The reward model calculates a reward for the output.
- The reward is used to update the policy using PPO.

Step 1: Collect human-written demonstrations on prompts submitted to the API, and train supervised learning baselines.
Secrets behind ChatGPT

Step 2: Collect human feedbacks, and then train a reward model (RM) to predict human preferences.
Step 3: Use this RM as a reward function and fine-tune GPT-3 policy to maximize this reward using the PPO algorithm.
ChatGPT on Text Summarization

Comparison between ChatGPT zero-shot performance with previous fine-tuning results on the Summarization task.

<table>
<thead>
<tr>
<th>Datasets</th>
<th>Models</th>
<th>R-1</th>
<th>R-2</th>
<th>R-L</th>
<th>R-Lsum</th>
</tr>
</thead>
<tbody>
<tr>
<td>CovidET</td>
<td>Fine-tuning</td>
<td>26.19</td>
<td>6.85</td>
<td>17.86</td>
<td>20.82</td>
</tr>
<tr>
<td></td>
<td>ChatGPT</td>
<td>20.81</td>
<td>3.99</td>
<td>15.35</td>
<td>15.36</td>
</tr>
<tr>
<td>NEWTS</td>
<td>Fine-tuning</td>
<td>31.78</td>
<td>10.83</td>
<td>20.54</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>ChatGPT</td>
<td>32.54</td>
<td>11.37</td>
<td>20.74</td>
<td>20.74</td>
</tr>
<tr>
<td>QMSum</td>
<td>Fine-tuning</td>
<td>32.29</td>
<td>8.67</td>
<td>28.17</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>ChatGPT</td>
<td>28.34</td>
<td>8.74</td>
<td>17.81</td>
<td>18.01</td>
</tr>
<tr>
<td>QMSum(Golden)</td>
<td>Fine-tuning</td>
<td>36.06</td>
<td>11.36</td>
<td>31.27</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>ChatGPT</td>
<td>36.83</td>
<td>12.78</td>
<td>24.23</td>
<td>24.19</td>
</tr>
<tr>
<td>SQuality</td>
<td>Fine-tuning</td>
<td>38.20</td>
<td>9.00</td>
<td>20.20</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>ChatGPT</td>
<td>37.02</td>
<td>8.19</td>
<td>18.45</td>
<td>22.56</td>
</tr>
<tr>
<td>Avg.</td>
<td>Fine-tuning</td>
<td>32.90</td>
<td>9.34</td>
<td>23.61</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>ChatGPT</td>
<td>30.94</td>
<td>8.96</td>
<td>19.22</td>
<td>—</td>
</tr>
</tbody>
</table>

ChatGPT achieves comparable performance with traditional finetuning methods. It may outperform fine-tuned model in some general datasets in the news domain.

Xianjun Yang et al. Exploring the Limits of ChatGPT for Query or Aspect-based Text Summarization.
Performance of ChatGPT for multilingual translation. The BLEU score is reported. Performance is compared with several commercial systems.

<table>
<thead>
<tr>
<th>System</th>
<th>De-En</th>
<th>Re-En</th>
<th>Zh-En</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>⇒</td>
<td>≅</td>
<td>⇒</td>
</tr>
<tr>
<td>Google</td>
<td>45.04</td>
<td>41.16</td>
<td>50.12</td>
</tr>
<tr>
<td>DeepL</td>
<td>49.23(+9.3%)</td>
<td>41.46(+0.7%)</td>
<td>50.61(+0.9%)</td>
</tr>
<tr>
<td>Tencent</td>
<td>n/a</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>ChatGPT</td>
<td>43.71(-2.9%)</td>
<td>38.87(-5.5%)</td>
<td>44.95(-10.3%)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>System</th>
<th>De-Zh</th>
<th>Ro-Zh</th>
<th>De-Ro</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>⇒</td>
<td>≅</td>
<td>⇒</td>
</tr>
<tr>
<td>Google</td>
<td>38.71</td>
<td>21.68</td>
<td>39.05</td>
</tr>
<tr>
<td>DeepL</td>
<td>40.46(+4.5%)</td>
<td>22.82(+5.2%)</td>
<td>38.95(+0.2%)</td>
</tr>
<tr>
<td>Tencent</td>
<td>40.66(+5.0%)</td>
<td>19.44(-10.3%)</td>
<td>n/a</td>
</tr>
<tr>
<td>ChatGPT</td>
<td>34.46(-10.9%)</td>
<td>19.80(-8.6%)</td>
<td>30.84(-21.6%)</td>
</tr>
</tbody>
</table>

ChatGPT is comparable to commercial systems in translation tasks with rich corpus (English-German), but it performs poorly in tasks with less corpus (Romanian-Chinese).

ChatGPT should better be used on:

• Creative and complex tasks, e.g., coding and creative writing.

• For tasks with limited or no labels, finetuning a smaller model is typically challenging or impossible to match GPT3’s zero/one/few-shot performance.

• Knowledge-intensive tasks, where retrieval is not feasible, e.g., Closed-book Question Answering.

• Some scenarios which require mimicking humans, e.g., chatbot.
ChatGPT: Use Case and Non-use Case

However, there are several cases where there is no need to use ChatGPT:

• Calling OpenAI ChatGPT API could raise some security/privacy issues, e.g., data leakage to OpenAI, or potentially generated harmful contents.

• There already exists a well-performed finetuned smaller model with high accuracy.
A Practical Guide of Employing ChatGPT
We explored whether ChatGPT could effectively extract structured information from healthcare texts by concentrating on two prevalent tasks: Named Entity Recognition (NER) and Relation Extraction (RE).
We design specific prompts to guide ChatGPT adapt to the task:

**Name Entity Recognition (NER) Task**

Given a sentence, please provide BIO tags for the medical named entity recognition. "Identification"; "of", "APC2", ",", "a", "homologue", "of", "the", "adenomatous", "polyposis", "coli", "tumour", "suppressor", "."

BIO tags for the medical named entity recognition of the given sentence are as follows:

- Identification: O
- of: O
- APC2: B-protein
- : O
- a: O
- homologue: O
- of: O
- the: O
- adenomatous: B-gene
- polyposis: I-gene
- coli: I-gene
- tumour: B-disease
- suppressor: I-disease
- : O

**Relational Extraction (RE) Task**

Given a sentence, predict whether there is a relation between the target gene (denoted as @GENE$) and target disease (@DISEASE$) "this study proposes that A/A genotype at position -607 in @GENE$ gene can be used as a new genetic maker in Thai population for predicting @DISEASE$ development."

Based on the given sentence, it can be inferred that there is a relationship between the target gene and target disease. Specifically, the study proposes that a particular genotype in the @GENE$ gene can be used as a genetic marker to predict the development of @DISEASE$ in the Thai population. Therefore, the sentence suggests that there is a potential link between the two, as the presence of a certain genotype in the @GENE$ gene may be indicative of an increased risk for developing @DISEASE$.
Our preliminary results indicate that employing ChatGPT directly for these downstream tasks result in only average performance.

<table>
<thead>
<tr>
<th>Task</th>
<th>Dataset</th>
<th>Metric</th>
<th>BioBERT</th>
<th>ChatGPT</th>
</tr>
</thead>
<tbody>
<tr>
<td>NER</td>
<td>NCBI</td>
<td>Precision</td>
<td>88.22</td>
<td>31.35</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Recall</td>
<td>91.25</td>
<td>38.96</td>
</tr>
<tr>
<td></td>
<td></td>
<td>F1-Score</td>
<td>89.71</td>
<td>35.29</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Task</th>
<th>Dataset</th>
<th>Metric</th>
<th>BioBERT</th>
<th>ChatGPT</th>
</tr>
</thead>
<tbody>
<tr>
<td>RE</td>
<td>GAD</td>
<td>Precision</td>
<td>79.21</td>
<td>67.53</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Recall</td>
<td>89.25</td>
<td>70.41</td>
</tr>
<tr>
<td></td>
<td></td>
<td>F1-Score</td>
<td>83.93</td>
<td>68.38</td>
</tr>
</tbody>
</table>
We propose a new training paradigm that involves generating a vast quantity of high-quality synthetic data utilizing ChatGPT and fine-tuning a local model for the down-stream task.
Prompt engineering enables us to generate high-quality and in-distribution synthetic data.

Generate 3 positive examples followed by 3 negative examples for the gene-disease relation extraction task in one table with head [Sentence](Label). The target gene is denoted as "@GENE$" and the target disease is denoted as "@DISEASE$". The label is whether there is a relation between the target gene and the target disease. The relation between the gene and disease can be any functional, causal, or associative connection. If there is a relation, then the label should be "Yes"; if there is no relation, the label should be "No". You can use unrealistic medical knowledge in your examples, and you can add untargeted genes or diseases with fabricated names. Generated sentences mimic the style of PubMed journal papers with various sentence structures.

<table>
<thead>
<tr>
<th>Sentence</th>
<th>Label</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Recent studies have identified a strong association between mutations in the @GENE$ gene and the onset of @DISEASE$.</td>
<td>Yes</td>
</tr>
<tr>
<td>2. Our analysis revealed that @GENE$ is a crucial regulator of @DISEASE$ progression and inhibition of its expression leads to a significant reduction in disease severity.</td>
<td>Yes</td>
</tr>
<tr>
<td>3. We observed a direct correlation between the expression levels of @GENE$ and the risk of developing @DISEASE$ in a large cohort of patients.</td>
<td>Yes</td>
</tr>
<tr>
<td>4. Although @GENE$ is known to play a role in several diseases, our results suggest that it is not involved in the pathogenesis of @DISEASE$.</td>
<td>No</td>
</tr>
<tr>
<td>5. The expression of @GENE$ was found to be downregulated in patients with @DISEASE$; however, this decrease does not seem to be causally related to disease onset.</td>
<td>No</td>
</tr>
<tr>
<td>6. While @DISEASE$ is a common complication in patients with mutations in @GENE$, our study failed to establish a direct relationship between the two.</td>
<td>No</td>
</tr>
</tbody>
</table>
The results show that the local model trained on the synthetic data outperforms ChatGPT performance.

<table>
<thead>
<tr>
<th>Task</th>
<th>Dataset</th>
<th>Metric</th>
<th>ChatGPT</th>
<th>Ours</th>
</tr>
</thead>
<tbody>
<tr>
<td>NER</td>
<td>NCBI</td>
<td>Precision</td>
<td>31.35</td>
<td>45.06</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Recall</td>
<td>38.96</td>
<td>57.50</td>
</tr>
<tr>
<td></td>
<td></td>
<td>F1-Score</td>
<td>35.29</td>
<td>50.52</td>
</tr>
<tr>
<td>RE</td>
<td>GAD</td>
<td>Precision</td>
<td>67.53</td>
<td>83.06</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Recall</td>
<td>70.41</td>
<td>86.43</td>
</tr>
<tr>
<td></td>
<td></td>
<td>F1-Score</td>
<td>68.38</td>
<td>84.25</td>
</tr>
</tbody>
</table>
The results show that the local model trained on the synthetic data outperforms ChatGPT performance.

By generating synthetic data with ChatGPT, we can reduce the reliance on expensive and time-consuming data collection and labeling while also mitigating potential privacy concerns.
A Practical Guide of Employing ChatGPT

- Capability
- Applications
- Limitations
This newfound capability to produce human-like texts at high efficiency also raises concerns in detecting and preventing misuses of LLMs in tasks such as phishing, disinformation, and academic dishonesty.
The ability to accurately detect LLM-generated texts is critical for realizing the full potential of NLG while minimizing serious consequences.

- From the perspective of end-users, LLM-generated text detection could increase trust in NLG systems and encourage adoption.

- For machine learning system developers and researchers, the detector can aid in tracing generated texts and preventing unauthorized use.
Existing detection methods can be roughly grouped into two categories: black-box detection and white-box detection.
In white-box detection, the detector has full access to the target language model, allowing the embedding of secret watermarks into its outputs for monitoring any suspicious or unauthorized activity.

**No watermark**
- Extremely efficient on average term lengths and word frequencies on synthetic, microamount text (as little as 25 words)
- Very small and low-resource key/hash (e.g., 140 bits per key is sufficient for 99.999999999% of the Synthetic Internet)

**With watermark**
- Minimal marginal probability for a detection attempt.
- Good speech frequency and energy rate reduction.
- Messages indiscernible to humans.
- Easy for humans to verify.

**Algorithm 1** Text Generation with Hard Red List

<table>
<thead>
<tr>
<th>Input: prompt, ( s^{(-N_p)} \ldots s^{(-1)} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>for ( t = 0, 1, \ldots ) do</td>
</tr>
<tr>
<td>1. Apply the language model to prior tokens ( s^{(-N_p)} \ldots s^{(t-1)} ) to get a probability vector ( p^{(t)} ) over the vocabulary.</td>
</tr>
<tr>
<td>2. Compute a hash of token ( s^{(t-1)} ), and use it to seed a random number generator.</td>
</tr>
<tr>
<td>3. Using this seed, randomly color the vocabulary into a “green list” ( G ) and a “red list” ( R ) of equal size.</td>
</tr>
<tr>
<td>4. Sample ( s^{(t)} ) from ( G ), never generating any token in the red list.</td>
</tr>
<tr>
<td>end for</td>
</tr>
</tbody>
</table>
Black-box Detection

Black-box methods require the collection of text samples from both human-generated and machine-generated sources. Subsequently, a classifier is trained to differentiate between the two categories based on chosen features.

<table>
<thead>
<tr>
<th>GLTR</th>
<th>Human-Written</th>
<th>ChatGPT-Generated</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>New York City students and teachers can no longer access ChatGPT - the new artificial intelligence-powered chatbot that generates stunningly cogent and lifelike writing - on education department devices or internet networks, agency officials confirmed Tuesday.</td>
<td>The sun was setting over the city, casting a warm glow over the bustling streets. People were hurrying home from work, lost in their own thoughts as they made their way through the crowds.</td>
</tr>
</tbody>
</table>

![Perplexity Graph](image)
Concerns about LLM-Generated Text Detection

While black-box detection works at present due to detectable signals left by language models in generated text, it will gradually become less viable as LM capabilities advance and ultimately become infeasible.

Current detection methods are based upon the assumption that the LLM is controlled by the developers and offered as a service to end-users. However, the possibility of developers open-sourcing their models poses a challenge to these detection approaches.

AI will not replace people in their jobs; People using AI will replace those who don't.
As LLMs continue to develop rapidly, concerns regarding their safety have emerged. Some LLM-generated information could be harmful or misleading, leading to potentially severe consequences.

• Some typical safety issues:
  1. Hallucination
  2. Harmful contents

• Possible safety issues in the future:
  1. Behavior of “power-seeking”
  2. Multi-lingual capability disparities
Relevant Work

Detecting LLM-Generated Texts

Data-centric AI Survey

Survey for LLMs

Synthetic Data Generation

Soft Prompt Calibration
Human-Centric Machine Learning

How to enable **interpretable** and **interactive** machine learning?

Interpretable Machine Learning (IML)

Provide explanations for human to easily understand the system

How to enable **automated** knowledge discovery and learning?

Automated Machine Learning (AutoML)

Enable human to easily build the system (e.g., AutoKeras)
Acknowledgements

- DATA Lab Members and Collaborators

- Funding Agencies
  
  --- Defense Advanced Research Projects Agency (DARPA)

  --- National Science Foundation (NSF)

  --- Industrial Sponsors (Apple, Google, JP Morgan, Meta, Samsung etc.)

- Everyone attending the talk!